# A Dried Yeast Fermentate Selectively Modulates both the Luminal and Mucosal Gut Microbiota and Protects against Inflammation, As Studied in an Integrated in Vitro Approach

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Supporting Information

ABSTRACT: EpiCor, derived from Saccharomyces cerevisiae, has been shown to have immunomodulating properties in human clinical trials and in vitro. However, the underlying mechanisms behind its immune protection via the gut remain largely unknown. Therefore, the aim of this study was to use an integrated in vitro approach to evaluate the metabolism of EpiCor by the intestinal microflora, its modulating effect on the gut microbiota, and its anti-inflammatory activity on human-derived cell lines. Using the SHIME model, in combination with a mucus adhesion assay, has shown that low doses of EpiCor have a prebiotic-like modulatory effect on the luminal- and mucosa-associated microbiota. These include gradual changes in general community structure, reduction of potential pathogens, quantitative increase in lactobacilli, and qualitative modulation of bifidobacteria. Moreover, by combination of the SHIME with Caco-2 cells and Caco-2/THP1 cocultures, a significant decrease in proinflammatory cytokines was observed at the end of the treatment period.

**KEYWORDS:** yeast fermentate, SHIME, intestinal bacteria, prebiotic, immune response

# INTRODUCTION

Due to its unique and continuous surveillance role, the gutassociated lymphoid tissue (GALT) represents about 70% of the body's immune system. Actually, the gastrointestinal tract is the site where the need for host defense collides with the need for nutrient absorption, which requires a large contact surface and a thin epithelium. Such structure has the potential to compromise host defenses; therefore, there is the need for extensive immune protection in the gut. Innate and adaptive immune responses to the vast number of dietary and microbial antigens are typically noninflammatory, favoring a state of immune hyporesponsiveness known as oral tolerance. This is in fact crucial for the maintenance of immune homeostasis.<sup>1</sup> However, epidemiological studies performed in industrialized countries show a dramatic increase in morbid conditions associated with gut chronic inflammation, such as inflammatory bowel diseases (IBD)<sup>2</sup> and metabolic disorders.<sup>3</sup> An imbalanced and ongoing activation of the mucosal immune system in the gut is thought to contribute to the etiology of such disorders;<sup>4</sup> in addition, it has been described that such patients have a dysfunctional intestinal microbiome.<sup>5</sup> Therefore, there is increasing interest in using specific nutritional interventions that can contribute to amelioration of the luminal microbial environment and to restoration of the balance on the intestinal immune system.

EpiCor is a natural fermentation product based on the culture of baker's yeast (Saccharomyces cerevisiae) under anaerobic conditions and stress in a proprietary medium (henceforth referred to as "fermentate"). After fermentation, the entire culture is dried, resulting in a product rich in yeast cell fragments, metabolites, and medium components. First observations on immune-protecting properties of this fermentate were made at a fermentation facility in Cedar Rapids, IA, USA, where unusually low incidences of influenza infectivity were noted among employees. This may presumably have been related to daily inhalation or ingestion of the yeast fermentate. Since then, a number of studies have been performed, indicating that regular consumption of this fermentate has the potential to decrease cold/flu-like symptoms<sup>6</sup> and allergic rhinitis-induced nasal congestion<sup>7</sup> and to enhance erythrocyte health and mucosal immune protection.<sup>8</sup>

However, despite some previous in vitro work,<sup>9</sup> the mode of action behind this immune protective effect remains largely unknown. The observed increase in secretory immunoglobulin (Ig)A in humans<sup>8</sup> indicates a role for the mucosal intestinal immune system, and these effects may be related to direct

Received: May 15, 2013 **Revised:** August 28, 2013 Accepted: September 5, 2013 Published: September 5, 2013 interactions with compounds present in the product. In fact, some products derived from yeast cultures, such as  $\beta$ -glucans, have been shown to possess immunomodulatory properties.<sup>10</sup> Moreover, yeast-based products have been shown to affect the intestinal microbiota,<sup>11,12</sup> which in turn is able to modulate the host's immunity.<sup>1,2</sup>

To further elucidate the underlying mechanisms involved in the observed immune effects of the fermentate, suitable study models must be used. Whereas human intervention trials and, to a lesser extent, animal studies suffer from a "black box" effect (due to sampling difficulties), well-designed in vitro models may offer a useful alternative for mode-of-action studies.<sup>13</sup> Moreover, cell culture models are interesting to study specific cell-compound interactions;<sup>14</sup> however, typical cell culture experiments are limited to the evaluation of direct effects of isolated test compounds or fractions. Still, the ultimate effects of food-derived products on the intestinal immune system are often the result of a complex network of processes, involving metabolism of the test compound, modulation of bacterial processes, and interaction of the complete intestinal environment with immune pathways. Similarly, dynamic models of the intestine have proven to be useful in the evaluation of specific effects of nutritional interventions on the intestinal microbiota.<sup>15,16</sup> Nonetheless, typical gut models are limited to studying luminal intestinal processes and do not include a host compartment, thereby hampering the study of host-bacteria interactions. Additionally, they do not simulate the mucosaassociated microbiota, a community with an important potential to modulate host processes, such as immunity.<sup>17</sup> To overcome this latter limitation, an integrated technology platform was developed for the combined study of intestinal processes, where effects on both luminal and mucus-associated microbiota and effects on immunity are evaluated. After a first batch screening on the fermentability of the yeast fermentate, the dynamic Simulator of the Human Intestinal Microbial Ecosystem  $(SHIME)^{18,19}$  was used in combination with a mucus adhesion assay<sup>20</sup> and with cell cultures. This allowed studying the long-term in vitro effect of the repeated daily intake (4 weeks) of a representative dose (0.63 g/day) of the fermentate on the intestinal microbiota and the resulting protection against inflammation.

## MATERIALS AND METHODS

**Test Products.** The test product used in this study consisted of a dried, modified *Saccharomyces cerevisiae* fermentation product (EpiCor, Embria Health Sciences, Ankeny, IA, USA). Inulin (Fibruline Instant) and oligofructose (Fibrulose F97) were provided by Cosucra (Warcoing, Belgium). Microcrystalline cellulose was purchased from Sigma-Aldrich (Schnelldorf, Germany).

Short-Term Experiment. Short-term experiments were performed in a standardized batch setup.<sup>21</sup> Different doses of yeast fermentate (0, 0.5, 1.0, 5.0, and 10 g/L) were first submitted to stomach-simulating digestion at pH 2.0 and to enzymatic breakdown by pancreatic enzymes under small-intestine simulating conditions. Then, the entire digested content was added to 54 mL of sterile basal medium<sup>22</sup> and transferred to penicillin bottles under sterile conditions. The bottles were sealed, and anaerobiosis was obtained by flushing the bottles with N<sub>2</sub> during 20 cycles of 2 min at 700 mbar overpressure and 900 mbar underpressure. Next, a 6 mL inoculum was withdrawn from the ascending colon compartment of the SHIME, prior to its use in the long-term experiment, and added to the bottles. This inoculum contains all of the representative microbial groups present in the human intestine (reviewed in ref 13). The bottles were then incubated at 37 °C with shaking at 120 rpm for the total duration of the experiment (48 h). At predefined time points samples were collected

using syringes and 23G needles under sterile conditions. Inulin and oligofructose (FOS), two well-known fermentable dietary fibers, and cellulose, a poorly fermentable fiber, were also used for comparison (dosed at 5.0 g/L). All experiments were performed in triplicate.

Long-Term Experiment. Long-term repeated oral intake of the yeast fermentate was studied using the dynamic SHIME (ProDigest-Ghent University, Ghent, Belgium). The SHIME mimics the different regions of the human gut in a succession of five reactors.<sup>18,19</sup> The first two have a fill-and-draw principle that simulates the different steps of food uptake and digestion, with pumps adding a defined amount of a carbohydrate-based nutritional medium (140 mL three times/day) and pancreatic and bile solutions (60 mL three times/day), respectively, to the stomach and duodenum compartments and emptying the reactors after specified intervals. The last three are continuously stirred reactors filled with a constant volume and having specific pH control. Upon inoculation with fecal microbiota, these reactors simulate the ascending (AC), transverse (TC), and descending (DC) colon. Inoculum preparation, retention time, pH, temperature settings, and nutritional medium composition have been described elsewhere.<sup>1</sup> ' The fecal inoculum to start the SHIME run was derived from a healthy individual with no history of antibiotic treatment in the past year. Upon reactor startup, the system was allowed to stabilize for 3 weeks.<sup>19</sup>

The long-term experiment consisted of a 2 week control period in which the standard nutritional medium was administered (three times/day): starch (3.0 g L<sup>-1</sup>), arabinogalactan (1.0 g L<sup>-1</sup>), pectin (2.0 g L<sup>-1</sup>), xylan (1.0 g L<sup>-1</sup>), glucose (0.4 g L<sup>-1</sup>), yeast extract (3.0 g L<sup>-1</sup>), peptone (1.0 g L<sup>-1</sup>), mucin (4.0 g L<sup>-1</sup>), and cysteine (0.5 g L<sup>-1</sup>) (Sigma-Aldrich). After this, a 4 week treatment period was performed in which the nutritional medium was supplemented with 1.5 g/L of yeast fermentate. To compensate for the additional administration of carbon sources, a corresponding amount of 1.5 g/L starch was removed. On the basis of the volume of medium administered to the system (140 mL three times/day), the daily dose of the yeast fermentate was set at 0.63 g/day.

To analyze the effect of the yeast fermentate on microbial community composition and activity and on immune parameters, liquid samples were collected three times per week from the three colon reactors for evaluation of metabolic activity and once per week for microbiological analysis (see below for further details). Samples were stored at -20 °C until further use.

*Mucus Adhesion Assay.* Bacterial suspensions from the different colon compartments of the SHIME were collected once a week and used in the mucin adhesion assay, which was performed as previously described.<sup>20</sup> At the start of the experiments, 1 mL of bacterial suspensions was added to the respective wells and bacteria were allowed to adhere to the mucin layer under anaerobic conditions, at 37 °C and under gentle agitation. After 80 min of incubation, nonadhered bacteria were removed by rinsing each well twice with PBS 1×, and the remaining adhered bacteria was quantified using specific plate count media for bifidobacteria, lactobacilli, clostridia, fecal coliforms, and facultative anaerobes.

*Metabolic Activity Analysis.* Short-chain fatty acid (SCFA) and ammonium levels were determined as previously described.<sup>16</sup> Phenol and *p*-cresol concentrations were determined as described elsewhere.<sup>23</sup> D-Lactic acid and L-lactic acid quantifications were conducted using a commercial detection kit (Bioline, Brussels, Belgium) according to the manufacturer's instructions.

*Culture-Based Microbiological Analysis.* Decimal dilutions of the samples were performed in saline solution (NaCl, 8.5%) and subsequently plated and incubated at 37 °C. Anaerobic incubation was performed in jars with gas atmosphere (84% N<sub>2</sub>, 8% CO<sub>2</sub>, and 8% H<sub>2</sub>) adjusted by the Anoxomat 8000 system (Mart, Sint-Genesius-Rode, Belgium). Counts were performed on McConkey agar (Oxoid, Basingstoke, UK) for coliforms, on LAMVAB for lactobacilli,<sup>24</sup> on Enterococcus agar (Difco, Sparks, MD, USA) for enterococci, on TSC agar (Merck, Darmstadt, Germany) for clostridia, on RB agar<sup>25</sup> for bifidobacteria, on MSA agar (Oxoid) for staphylococci, and on BHI agar (Oxoid) for facultative anaerobes and anaerobes.

target group	primer	sequence 5'-3'	kit <sup>a</sup>	ref
all bacteria	PRBA338f	ACTCCTACGGGAGGCAGCAG	1	61
	P518r	ATTACCGCGGCTGCTGG		
Bacteroidetes	Bact934F	GGARCATGTGGTTTAATTCGATGAT	1	62
	Bact1060R	AGCTGACGACAACCATGCAG		
Firmicutes	Firm934F	GGAGYATGTGGTTTAATTCGAAGCA	1	62
	Firm1060R	AGCTGACGACAACCATGCAC		
Bifidobacterium	Bif243f	TCGCGTC(C/T)GGTGTGAAAG	2	61
	Bif243r	CCACATCCAGC(A/G)TCCAC		
Poseburia	Ros El	CCCCTRCCCCAACTCTCA	1	63
Roseburiu	Ros-P1	CCTCCCACACTCTACTMCCAC	1	05
	K05-K1	CETECOACACTETAGTMEGAC		
Lactobacillus	F_Lacto_05	AGCAGTAGGGAATCTTCCA	1	64
	R_Lacto_04	CGCCACTGGTGTTCYTCCATATA		
Clastridium cluster I	Cper120f	ATCCAACTCCACCCA(C/T)C	2	65
Closiniuum cluster 1	Cper120r	TATCCCCTATTAATCT(C/T)CCTTT	2	05
	0001201			
C. perfringens cpa gene	CpaF	GCTAATGTTACTGCCGTTGA	3	66
	CpaR	CCTCTGATACATCGTGTAAG		

# Table 1. Group-Specific 16S rRNA and Functional Gene-Targeted Primers Used in This Study for Quantitative PCR Assessment

<sup>*a*</sup>QPCR protocols were performed using either the Power SYBR Green PCR Master kit (Applied Biosystems, Foster City, CA, USA) (1), the qPCRT core kit for Sybr Green I (Eurogentec, Seraing, Belgium) (2), or the Brilliant SYBR Green QPCR Master Mix (Agilent Technologies, Santa Clara, CA, USA) (3).

Molecular Microbiological Analyses. DNA was extracted from the samples as previously described.<sup>26</sup> Denaturing gradient gel electrophoresis (DGGE) on total bacteria, bifidobacteria, and lactobacilli was performed to study the qualitative effect on the structure of the intestinal microbiota, as previously described.<sup>16</sup> Analysis of the DGGE patterns was performed using Bionumerics software version 5.10 (Applied Maths, Sint-Martens-Latem, Belgium). Briefly, calculation of similarities was based on the Pearson correlation coefficient. Clustering analysis was performed using the unweighted pair group method with arithmetic mean clustering algorithm (UPGMA) to calculate the dendrograms of each DGGE gel and a combination of all gels (composite data set). Principal coordinate analysis (PCoA) was used to reduce the different data of the complex DGGE patterns of one sample to one point in a three-dimensional space. PCoA was based on the combined information from the distance matrices of each DGGE, obtained using similarity coefficients (Pearson correlation).<sup>2</sup>

Quantitative PCR (Q-PCR) was performed to study the quantitative effect of the treatment on the composition of the intestinal microbial community. The different protocols used are described in Table 1.

*Pyrosequencing Analysis and Phylogenetic Classification.* The V5 and V6 regions of the 16S rRNA genes were amplified using a primer set corresponding to primers 784F and 1061R described by Andersson and colleagues.<sup>28</sup> The specific PCR conditions and sample preparation are those reported by De Filippo et al.<sup>29</sup> Pyrosequencing was carried out on a 454 Life Sciences Genome Sequencer FLX instrument (Roche, Mannheim, Germany), following titanium chemistry by DNAVision (Charleroi, Belgium). Pyrosequencing of the six amplicon libraries produced a total of 49565 16S rDNA reads. Processing, analysis, filtering, and trimming of these sequences were carried out as previously described.<sup>30</sup> The data set was dereplicated for downstream analysis, and the resulting unique sequences were aligned using the SILVA core alignment as template.<sup>31</sup> Further filtering was applied to (i) sequences not starting at the correct alignment position (forward primer annealing site upstream of the V5 region), (ii) rare OTUs,<sup>32</sup> and (iii) putative chimeric sequences.<sup>31,33</sup> This preprocessing

resulted in a high-quality data set of filtered and aligned sequences with a mean average of 1669 sequences per library. To avoid possible biases due to library size differences,<sup>34</sup> these were subsampled to the smallest library size, that is, 979 reads using the Mothur package.

The high-quality data set was analyzed using a "phylogenetic-based" approach. A neighbor-joining phylogenetic tree of the filtered sequences, constructed with Clearcut software<sup>35,36</sup> implemented in Mothur, was used to compare the different samples using weighted and unweighted UNIFRAC.<sup>37,38</sup> An OTU-based approach was used to cluster sequences into OTU (97% similarity threshold). OTU composition was used to evaluate the  $\alpha$  diversity (observed richness, Chao 1 index, Shannon index, and rarefaction curves) within each sample.

**Caco-2 Cells Preliminary Experiments.** *Cell Cultures.* Caco-2 cells (HTB-37) were obtained from the American Type Culture Collection (LGC Promochem, Molsheim, France). Cells at passage 18 were seeded at a density of 10,000 cells/cm<sup>2</sup> and grown with 7-day passage frequency in Dulbecco's modified Eagle medium (DMEM) containing 25 mM glucose and 4 mM glutamine and supplemented with 0.1% (v/v) nonessential amino acids (Invitrogen, Belgium) and 10% (v/v) heat-inactivated fetal bovine serum (FBS) (Perbio Science, Erembodegem, Belgium). Cells were incubated at 37 °C in a humidified atmosphere of air/CO<sub>2</sub> (95:5, v/v), and medium was changed three times/week.

Cytotoxicity Evaluation. To evaluate if the SHIME suspensions were toxic to the cells, a cytotoxicity test was performed. For that, Caco-2 cells were grown in 96-well plates (Corning CellBIND, Badhoevedorp, The Netherlands) at a density of 32000 cells/well for 7 days. Then, the cells were exposed to different concentrations of sterile-filtered (0.22  $\mu$ m) colonic SHIME suspensions diluted in culture medium (50, 20, 10, and 5%, v/v). After 24 h of exposure at 37 °C, the supernatant was collected and immediately assessed for cytotoxicity (cytotoxicity detection kit, Roche), which is based on the measurement of lactate dehydrogenase (LDH) activity. Cytotoxicity was expressed as percentage of the positive control (100% of LDH activity) generated with control cells incubated with 0.1% (v/v) Triton

X-100 (Sigma-Aldrich) for 5-10 min. This test was intended to determine the concentrations of SHIME suspensions to be used on further experiments. Cytotoxicity was checked for each experiment with the same LDH colorimetric assay to ensure the validity of the results.

Interleukin-8 Evaluation. To determine if the yeast fermentatederived metabolites collected from the SHIME may have an immunomodulatory effect on intestinal-like epithelial cells, samples from the three colon reactors of the SHIME were given to Caco-2 cells. For this purpose, Caco-2 cells were seeded on 12-well semipermeable inserts (0.4 µm Thincerts, Greiner bio-one, Wemmel, Belgium) at a density of 200,000 cells/well and cultured for 21 days with three medium changes/week. After this period, the integrity of the monolayer was evaluated by measuring the transepithelial electrical resistance (TEER) using an Epithelial Volt-Ohm meter and two electrodes, ENDHOM-12 (World Precision Instruments, Sarasota, FL, USA), to ensure that a monolayer with >1000  $\Omega \cdot cm^2$  was obtained. Caco-2 cells cultured in transwell inserts spontaneously differentiate into a functional polarized epithelial monolayer that expresses several morphological and functional characteristics of mature enterocytes lining the small intestine.<sup>39</sup> Then, sterile-filtered (0.22  $\mu$ m) SHIME colonic suspensions were diluted 1:10 (v/v) in Caco-2 culture medium and cells incubated at 37 °C/5% CO2 for 24 h, in the presence or absence of a pro-inflammatory cocktail composed of 25 ng/mL tumor necrosis factor (TNF)- $\alpha$  (Sigma-Aldrich), 25 ng/mL interleukin (IL)- $1\beta$  (Sigma-Aldrich), 50 ng/mL interferon (IFN)- $\gamma$  (Calbiochem, Darmstadt, Germany), and 1  $\mu$ g/mL lipopolysaccharides (LPS; Sigma-Aldrich). After incubation, the supernatants from the upper and lower compartments were collected and pooled, and IL-8 was measured by using an enzyme-linked immunosorbent assay (ELISA) (BD Biosciences, San Diego, CA, USA) according to the manufacturer's instructions. Values of picograms of IL-8 per milligram of protein were calculated after protein quantification (bicinchoninic assay; Sigma-Aldrich).

Caco-2/THP1 Cocultures. Cell Cultures. To evaluate the immuneprotective effect of the yeast fermentate-derived metabolites in a more relevant in vitro system, where intestinal epithelial cells are cultured in the presence of immune cells, a coculture model of Caco-2 cells and THP1 cells was used. Caco-2 cells (HTB-37) were obtained from the American Type Culture Collection (LGC Promochem, Molsheim, France). Cells were seeded at a density of 10,000 cells/cm<sup>2</sup> and grown with 7-day passage frequency in DMEM containing 25 mM glucose and 4 mM glutamine and supplemented with 10 mM HEPES and 10% (v/v) heat-inactivated FBS. THP1-XBlue cells were purchased from InvivoGen (Toulouse, France). These are THP1 human monocytes stably transfected with a reporter construct expressing a secreted embryonic alkaline phosphatase (SEAP) gene under the control of a promoter inducible by the transcription factors nuclear factor kappa-B (NF- $\kappa$ B) and activator protein (AP)-1. Upon Toll-like receptor (TLR) stimulation, NF-KB and AP-1 become activated and subsequently induce the production and secretion of SEAP. The reporter protein is detectable and measurable by using the QUANTI-Blue assay (InvivoGen). THP1-XBlue cells were maintained at a density of 300,000 cells/mL in Roswell Park Memorial Institute (RPMI) 1640 medium containing 11 mM glucose and 2 mM glutamine and supplemented with 10 mM HEPES, 1 mM sodium pyruvate, and 10% (v/v) heat-inactivated FBS. All media and supplements were purchased from Invitrogen (Gent, Belgium). All cells were incubated at 37 °C in a humidified atmosphere of air/CO2 (95:5, v/v), and medium was changed three times/week.

Cocultures. For the coculture experiments Caco-2 cells at passage 29 were seeded in 24-well semipermeable inserts (0.4  $\mu$ m Thincerts, Greiner bio-one, Wemmel, Belgium) at a density of 100,000 cells/ insert. Caco-2 cell monolayers were cultured for 14 days, with three medium changes/week, until a functional cell monolayer with a TEER of >1000  $\Omega \cdot \text{cm}^2$  was obtained. THP1-XBlue cells at passage 18 were seeded in 24-well plates at a density of 500,000 cells/well and treated with 50 ng/mL of phorbol 12-myristate 13-acetate (PMA; Sigma-Aldrich) for 48 h. PMA treatment has been shown to induce the differentiation of THP1 cells into macrophage-like cells<sup>40,41</sup> and to

trigger TLR up-regulation on these cells, thereby priming them for pathogen-associated molecular pattern (PAMP) recognition, such as LPS.<sup>42</sup> After 48 h of PMA treatment, cells were washed once with PBS 1×, and the wells were filled with Caco-2 culture media. Then, the Caco-2 inserts were placed on top of the PMA-differentiated THP1-XBlue cells for further experiments. As previously described,<sup>43,44</sup> PMA-activated THP-1 macrophages induce damage on Caco-2 cells as measured by a decrease in TEER of the monolayer.

Transepithelial Electrical Resistance Measurement. TEER is a measure of barrier function and monolayer integrity. Exposure of cells to toxic compounds may induce cell damage and lead to barrier disruption, thereby resulting in a decrease in TEER. Hence, the integrity of the Caco-2 monolayer was monitored before the inserts were placed on top of the THP1-XBlue cells (0 h time point) and 24 h after treatment of the cocultures with the SHIME-collected samples by using an Epithelial Volt-Ohm meter Millicell ERS-2 from Millipore. The TEER of an empty insert was subtracted from the treated wells (residual electrical resistance of the insert), and all values were multiplied by the surface of the inserts. Then, the 24 h values (after treatment) were normalized to its 0 h time point (before treatment) and are expressed as percentage from the initial value.

Cocultures Treatment with SHIME-Collected Samples. Caco-2 cells placed on top of PMA-differentiated THP1-XBlue cells (cocultures) were treated apically with sterile-filtered (0.22  $\mu$ m) colonic SHIME suspensions collected from the transverse colon vessels at the end of the control period (yeast C) and at the end of the treatment period (yeast T). The SHIME suspensions were diluted 1:5 (v/v) in Caco-2 culture media and cells incubated at 37 °C/5% CO<sub>2</sub> for 24 h. Cells were also exposed to culture media alone (on both chambers) as control. After this treatment period, the TEER was measured and cells were stimulated basolaterally with 100 ng/mL of LPS (*Escherichia coli* K12 LPS, InvivoGen) or with DMEM (control) for 6 h, after which the basolateral medium was collected for cytokine measurement and determination of NF- $\kappa$ B/AP-1 activity. Cellular proliferation was also measured on the apical compartment as further described. All treatments were done in triplicate.

Measurement of Cytokine Secretion and NF- $\kappa$ B/AP-1 Activity. Human IL-8, IL-6, and TNF- $\alpha$  were measured on the basolateral supernatant after LPS stimulation by using an ELISA assay (R&D Systems and eBioscience) according to the manufacturer's instructions. The QUANTI-Blue assay (InvivoGen) was used to measure NF- $\kappa$ B/AP-1 activity of THP1-XBlue cells on the basolateral supernatant after LPS stimulation according to the manufacturer's instructions. Briefly, 20  $\mu$ L of each sample was plated on a 96-well microtiter plate, and 200  $\mu$ L/well of QUANTI-Blue was added. The plate was incubated for 24 h at 37 °C, after which optical density was read at 630 nm. An aliquot of culture media alone was added as a negative control to determine the basal levels of alkaline phosphatases possibly present on the FBS. Cells stimulated only with DMEM were used as control.

Determination of Cell Proliferation. Caco-2 cell proliferation was measured on the apical compartment using the cell proliferation reagent WST-1 from Roche. This assay is based on the reduction of WST-1 by viable cells. The reaction produces a soluble formazan salt, which is then quantified by using a microplate reader. The absorbance directly correlates with cell number. Briefly, 10  $\mu$ L of WST-1 was added to 100  $\mu$ L of culture media present on the apical compartment. Cells were incubated at 37 °C/5% CO<sub>2</sub> for 30 min, after which the supernatant was collected onto a 96-well microtiter plate and read at 450 nm. A reference wavelength of 690 nm was used.

**Statistical Analysis.** Normality of the data and equality of the variances were assessed using the Kolmogorov–Smirnov test and Levene's test, respectively. Comparison of means on non-normally distributed data was evaluated with the nonparametric Kruskal–Wallis test. Comparison of normally distributed data was performed with Student's *t* test for pairwise comparisons or with one-way ANOVA for multiple comparisons; when ANOVA indicated significant differences, means were compared using the Tukey, Bonferroni (equal variances), or Dunnett T3 multiple-comparisons test. Linear regression was used to predict change over time by the least-squares method. Statistical significance was set at p < 0.05. Calculations were performed using



Figure 1. Fermentation profile of the yeast fermentate after the short-term screening setup: (A) SCFA concentrations; (C) ammonium concentrations; and (E) total bacteria, bifidobacteria and lactobacilli. Panels A, C, and E present the results from the comparison of the short-term colon incubations of the yeast fermentate (yeast), cellulose, inulin, and fructo-oligosaccharides (FOS). Panels B, D, and F show the results from the same analyses, but of a dose-response experiment with the yeast fermentate alone.

SPSS software (version 16.0, SPSS Inc., Chicago, IL, USA) and GraphPad Prism version 5.00 for Windows (GraphPad Software, San Diego, CA, USA).

## RESULTS

Short-Term Experiment. Yeast Fermentate Is Well Fermented in a Dose-Response Manner. To evaluate the dose-response fermentation of the yeast fermentate, EpiCor was incubated in a short-term batch screening setup at 0, 0.5, 1.0, 5.0, and 10 g/L. The observed linear increase of both individual and total SCFAs at increasing concentrations of EpiCor (Figure 1B) indicates, on the one hand, that this

product is well fermented in a saccharolytic manner; on the other hand, the observed linear increase in ammonium production at increasing concentrations of the yeast fermentate (Figure 1D) is related to the presence of a protein fraction in this product. Finally, a strong dose-dependent stimulation of lactobacilli was observed (Figure 1F). To a lower extent, a similar stimulation of bifidobacteria was observed up to 5.0 g/L of yeast fermentate (Figure 1F); however, this increase was not observed at the highest concentration.

Yeast Fermentate Has a Significant Prebiotic Potential. To compare the fermentation profile of the yeast product with that of well-established prebiotics, the fermentate was dosed at Table 2. Microbial Counts in the Colon Compartments of the SHIME Reactor during the Control Period (n = 3) and during the Treatment Period (n = 4) in Which the Yeast Fermentate Was Administered to the SHIME at a Daily Dose of 0.63 g/Day<sup>a</sup>

	control period			treatment period					
	ascending	transverse	descending	ascending	transverse	descending			
	Luminal Microbiota (Based on Plate Counts (CFU/mL))								
total anaerobes	8.47 ± 0.36	8.06 ± 0.21	$7.51 \pm 0.37$	8.18 ± 0.32	$7.84 \pm 0.38$	$7.64 \pm 0.44$			
facultative anaerobes	8.19 ± 0.15	$7.87 \pm 0.11$	$7.56 \pm 0.23$	$7.75 \pm 0.33^{b}$	$7.56 \pm 0.20^{b}$	$7.52 \pm 0.25$			
enterococci	4.47 ± 0.16	$3.89 \pm 0.13$	$4.05 \pm 0.20$	4.21 ± 0.35	$4.39 \pm 0.34^{b}$	$4.53 \pm 0.51^{b}$			
clostridia	$7.90 \pm 0.18$	$7.42 \pm 0.25$	$7.07 \pm 0.43$	$7.31 \pm 0.40^{b}$	$6.64 \pm 0.39^{b}$	6.46 ± 0.36			
coliforms	8.24 ± 0.16	$7.94 \pm 0.03$	$7.81 \pm 0.11$	$7.81 \pm 0.26^{b}$	$7.63 \pm 0.37^{b}$	$7.88 \pm 0.31$			
staphylococci	$7.43 \pm 0.46$	$7.11 \pm 0.27$	$6.52 \pm 0.40$	$7.07 \pm 0.35^{b}$	$6.57 \pm 0.36^{b}$	$6.08 \pm 0.67$			
		Luminal Microbiota (Based on Quantitative PCR (16S rDNA Copies/mL))							
total bacteria	$11.21 \pm 0.18$	$10.66 \pm 0.67$	$10.92 \pm 0.21$	11.10 ± 0.49	$11.11 \pm 0.12$	$10.92 \pm 0.21$			
Firmicutes	$8.85 \pm 0.13$	8.65 ± 0.24	$8.83 \pm 0.06$	8.75 ± 0.13	$8.82 \pm 0.10$	8.62 ± 0.15			
Bacteroidetes	9.94 ± 0.10	$9.82 \pm 0.16$	$9.24 \pm 0.46$	9.90 ± 0.16	$9.75 \pm 0.09$	9.45 ± 0.19			
bifidobacteria	8.21 ± 0.09	$8.08 \pm 0.11$	$7.81 \pm 0.36$	8.23 ± 0.11	$8.14 \pm 0.11$	8.13 ± 0.09			
lactobacilli	4.55 ± 0.18	4.16 ± 0.56	$4.07 \pm 0.11$	$5.22 \pm 0.47^{b}$	$5.10 \pm 0.24^{b}$	$4.71 \pm 0.20^{b}$			
Roseburia spp.	$6.64 \pm 0.27$	$6.51 \pm 0.22$	$6.51 \pm 0.14$	$6.48 \pm 0.07^{b}$	6.56 ± 0.09	$6.49 \pm 0.12$			
clostridia cluster I	$6.58 \pm 0.22$	$6.60 \pm 0.08$	$7.22 \pm 0.04$	$8.13 \pm 0.31^{b}$	$7.76 \pm 0.13^{b}$	$7.42 \pm 0.11^{b}$			
C. perfringens	6.61 ± 0.09	$6.60 \pm 0.11$	$6.57 \pm 0.11$	6.56 ± 0.14	$6.64 \pm 0.14$	$6.55 \pm 0.08$			
		Mucus-Associated Microbiota (Plate Counts (CFU/mL))							
total anaerobes	6.71 ± 0.49	$6.17 \pm 0.59$	$6.06 \pm 0.34$	$6.04 \pm 0.40^{b}$	$6.10 \pm 0.28$	5.96 ± 0.26			
lactobacilli	2.98 ± 1.01	$2.86 \pm 0.83$	$1.59 \pm 0.14$	$2.64 \pm 0.63$	$3.20 \pm 0.71$	$3.24 \pm 1.02^{b}$			
bifidobacteria	$6.57 \pm 0.37$	$6.16 \pm 0.30$	$5.99 \pm 0.19$	$6.04 \pm 0.75$	$5.86 \pm 0.43$	$5.51 \pm 0.55$			
clostridia	$6.70 \pm 0.18$	$5.64 \pm 0.31$	$5.37 \pm 0.49$	$5.82 \pm 0.64^{b}$	$5.46 \pm 0.52$	$5.37 \pm 0.32$			
coliforms	$6.85 \pm 0.13$	$6.27 \pm 0.33$	$6.20 \pm 0.42$	$7.01 \pm 0.29$	$6.66 \pm 0.34$	$6.55 \pm 0.45$			
Results are presented as	the mean $\pm$ SD. <sup>b</sup> S	Significantly differen	t from the control p	period, <i>p</i> < 0.05.					

the same concentration as inulin and FOS (5.0 g/L). Cellulose (5.0 g/L) was used as negative control. After 48 h, significantly higher total SCFA concentrations were detected for the yeast fermentate, inulin, and FOS (35-40 mmol/L) as compared to cellulose (21 mmol/L), indicating good fermentability of the fermentate (Figure 1A). However, despite similar total SCFAs, a specific fermentation profile was observed for the yeast fermentate, with lower acetate, similar propionate, and higher butyrate production as compared to inulin and FOS (7.0, 6.0, and 3.2 mmol/L butyrate, respectively). The higher ammonium production observed for the fermentate again indicated the presence of a protein fraction in the product (Figure 1C). Finally, the highest increase in bifidobacteria and lactobacilli was observed for the FOS incubation (Figure 1E). Similar levels of bifidobacteria and higher levels of lactobacilli were detected for the yeast fermentate as compared to inulin (1.3 log increase against a 0.7 log increase, respectively).

Long-Term SHIME Experiment with the Yeast Fermentate. Yeast Fermentate Modulates the Colonic Microbiota. A combination of culture-based and molecular methods was used to characterize the microbial community in the different colon-simulating compartments (referred to as "lumen") (Table 2). The use of selective culture-plate media showed that the yeast fermentate decreased the concentration of coliforms, clostridia, staphylococci, and facultative anaerobes in the simulated ascending and transverse colon vessels. In contrast, a significant increase in enterococci was noted in the simulated transverse and descending colon.

Q-PCR analysis (Table 2) showed that administration of the fermentate did not drastically alter the community composition, with no changes in total bacterial counts and the counts of Bacteroidetes and Firmicutes. However, at lower phylogenetic level, a significant increase in lactobacilli was observed, with increases of 0.7, 0.9, and 0.6 log units for the ascending,

transverse, and descending colon compartments, respectively. Additionally, the counts of clostridia cluster I significantly increased in all colon compartments (1.6, 1.2, and 0.2 log units, respectively). This cluster contains potentially beneficial bacteria such as *Clostridium butyricum*, although it also includes the pathogenic *Clostridium perfringens*. To rule out that the fermentate would increase the counts of this pathogen, a specific Q-PCR, targeting the  $\alpha$  toxin gene ( $cp\alpha$ ) of *C*. *perfringens*, was performed, showing no increase of this pathogen in the different colon-simulating compartments (Table 2).

Changes in the microbial community were also observed upon DGGE analysis of SHIME samples (Figure 2A–C). Clustering of the DGGE fingerprints for total bacteria, bifidobacteria, and lactobacilli displayed separate clusters for samples from the control period and for the treatment period. Additionally, separate clustering was observed for the different treatment weeks, in which the similarity with the control period was highest in the beginning of the treatment period. This indicates that long-term administration of the yeast fermentate induces gradual changes in the microbial community composition in vitro. This gradual microbiota modulation is also evident in the PCoA analysis (Figure 2D), performed on the composite data set derived from the combination of all the DGGE.

Finally, a pyrosequencing approach was used to compare the microbial community composition of the different samples with higher resolution. The weighted and unweighted UNIFRAC metrics were used to perform similarity analysis on samples collected from the colon compartments at the end of the control and treatment periods (Figure 3). In both cases, the samples collected from the simulated proximal colon (i.e., the main area of fermentation of the test product) at the end of the treatment period formed separated clusters, further confirming



Figure 2. Microbial composition change upon long-term fermentation of the yeast fermentate: (A, B, C) denaturing gel electrophoresis (DGGE) fingerprints and (D) principal coordinate analysis (PCoA) of samples from the control (CT) and treatment (TR) periods of the SHIME experiment. DGGE fingerprints of the microbial community from the simulated ascending (AC), transverse (TC), and descending (DC) colon compartments were constructed for total bacteria (A), bifidobacteria (B), and lactobacilli (C). Numbering and color codes refer to the different experimental weeks. PCoA was used to explore the similarity within a composite data set consisting of DGGE fingerprints of total bacteria, bifidobacteria, and lactobacilli (D). The arrow indicates time evolution.



Figure 3. Neighbor-joining tree of the different intestinal regions obtained upon microbial sequencing: high-throughput sequencing analysis of the bacterial community of samples from the simulated ascending (AC), transverse (TC), and descending (DC) colon compartments, collected at the end of the control (CT) and treatment (TR) periods of the long-term SHIME experiment. Similarity analysis was performed using the unweighted (A) and weighted (B) UNIFRAC metrics.

that the yeast fermentate affects the colonic microbiota in vitro. The clustering was more defined in the weighted as compared to the unweighted UNIFRAC. This means that the gradual microbiota changes observed involved essentially the relative

abundance of the different phylotypes. The higher efficacy of the fermentate in modulating the composition of the microbial community in the simulated proximal colon (ascending and transverse colon) was also confirmed by analysis of the rarefaction curves and the calculation of the Chao 1 and Shannon indices (Supporting Information Figure S1). These results show that the diversity increased in the area of the gut where the product is mainly fermented (AC + TC), whereas it decreased in the DC during the treatment. In the same manner, the Chao 1 index showed a maximum increase in the simulated proximal colon (i.e., AC, +95%; TC, +29%; DC, +22%).

Mucus-Associated Microbial Community Composition Is Affected by the Yeast Fermentate. Combination of the SHIME with mucus adhesion assays allowed evaluation of the effect of the yeast fermentate on the adherence of intestinal microbiota to the mucus. Again, no strong changes were observed in the general microbial community composition (Table 2); however, effects were noted at specific groups' level, with a 1.7 log increase in adhered lactobacilli in the simulated descending colon and a 0.9 log decrease in the adherence of clostridia.

Table 3. Short-Chain Fatty Acid (SCFA) Levels (Presented as Concentrations and as the Ratio of, Respectively, Acetate (A), Propionate (P), or Butyrate (B) to the Sum of the Latter Three Acids) as well as Lactate, Ammonium, Phenol, and *p*-Cresol Concentrations in the Colon Compartments of the SHIME Reactor during the Control Period (n = 6) and during the Treatment Period (n = 12) in Which the Yeast Fermentate Was Administered to the SHIME at a Daily Dose of 0.63 g/Day<sup>a</sup>

	control period			treatment period		
	ascending	transverse	descending	ascending	transverse	descending
SCFA (mmol/L)						
acetate	$25.79 \pm 4.63$	$34.05 \pm 3.92$	$34.77 \pm 5.63$	$25.49 \pm 1.85$	$31.01 \pm 1.54^{b}$	32.44 ± 1.79
propionate	$13.30 \pm 1.22$	20.19 ± 2.34	$17.73 \pm 1.56$	13.46 ± 1.01	$16.77 \pm 0.53^{b}$	$15.30 \pm 0.96^{b}$
butyrate	$9.41 \pm 0.60$	$10.38 \pm 0.62$	$9.35 \pm 0.97$	$9.26 \pm 0.92$	$11.14 \pm 0.47^{b}$	$9.31 \pm 0.64$
other <sup>d</sup>	$2.60 \pm 0.64$	$4.40 \pm 2.58$	$9.12 \pm 3.03$	$3.19 \pm 0.24$	$4.00 \pm 0.28$	$7.80 \pm 0.87$
total	$51.10 \pm 3.72$	$69.02 \pm 3.23$	$70.97 \pm 7.21$	$51.40 \pm 2.90$	$62.93 \pm 2.07^{b}$	$64.85 \pm 3.47^{b}$
A/(A + P + B)	0.53	0.52	0.57	0.53	0.53	0.57
P/(A + P + B)	0.28	0.32	0.28	0.28	$0.28^{b}$	0.27
B/(A + P + B)	0.19	0.16	0.15	0.19	$0.19^{b}$	0.16 <sup>b</sup>
lactate (mmol/L)	$2.16 \pm 0.70$	$4.03 \pm 0.96$	$3.73 \pm 0.77$	$2.81 \pm 0.58^{b}$	$3.66 \pm 0.75$	$3.60 \pm 0.65$
ammonium (mg $NH_4^+/L$ )	$293.1 \pm 52.6$	$362.4 \pm 68.0$	424.2 ± 84.8	$434.5 \pm 26.7^{b}$	$514.8 \pm 38.9^{b}$	$528.5 \pm 48.4^{b}$
phenol (mg/L)	$0.01 \pm 0.09$	$0.06 \pm 0.05$	$0.08 \pm 0.07$	$3.17 \pm 2.90^{c}$	$3.06 \pm 1.81^{b}$	$2.29 \pm 1.86^{c}$
p-cresol (mg/L)	$0.04 \pm 0.0002$	$0.07 \pm 0.005$	$8.65 \pm 1.79$	$0.02 \pm 0.03$	$0.05 \pm 0.17^{b}$	$8.01 \pm 4.79$

<sup>*a*</sup>Results are presented as the mean  $\pm$  SD. <sup>*b*</sup>Significantly different from the control period, p < 0.05. <sup>*c*</sup>Significantly different from the control period when only the second half of the treatment period is included in the analysis (n = 6), p < 0.05. <sup>*d*</sup>Other SCFAs include isobutyrate, valerate, isovalerate, and caproate.

Yeast Fermentate Induces Butyrate Production in the Simulated Colon. Replacement of part of the starch by the yeast fermentate in the SHIME nutritional medium induced SCFA profiles with lower acetate concentration in the transverse colon and higher butyrate levels in the transverse (increase in both absolute and relative numbers) and descending colon (relative increase only) (Table 3). Furthermore, increased lactate concentrations were observed in the simulated ascending colon. Similarly to what was observed in the short-term experiments, increased ammonium levels were detected, indicating proteolysis of the protein content of the yeast fermentate. This was confirmed by the increased phenol concentrations (Table 3).

**Caco-2** Cell Preliminary Experiments. SHIME Matrix Is Not Cytotoxic and the Yeast Fermentate Inhibits in Vitro IL-8 Secretion by Caco-2 Cells. To evaluate the potential negative effects of the SHIME matrix on the Caco-2 cells, cytotoxicity was evaluated upon administration of different concentrations of sterile-filtered colonic suspensions to the cells. No cytotoxic effects of the SHIME matrix were observed at 5, 10, and 20% (results not shown). In addition, the integrity of the monolayer was monitored during each experiment by measuring the TEER, and no significant changes were observed (results not shown).

Potential immune-protective effects of the SHIME colonic suspensions collected from the control and treatment periods were evaluated by measuring IL-8 secretion upon preincubation of either nonstimulated or stimulated Caco-2 cells with sterilefiltered intestinal suspensions. In nonstimulated cells, a small increase in IL-8 secretion was observed for all SHIME samples as compared to the blank; however, levels remained very low, and no differences were observed between samples (data not shown). Therefore, a pro-inflammatory cocktail was used to stimulate IL-8 production. This resulted in a strong increase in IL-8 production for all experiments (in the range of 150-fold increase as compared to the nonstimulated blank control). For all SHIME reactors, it is possible to see a significant trend toward decreasing in vitro IL-8 levels during the course of the experiment, from week 1 (TR1) to week 4 (TR4) (Supporting Information Figure S2).

Caco-2/THP1 Coculture Experiments. Yeast Fermentate Does Not Affect the Intestinal-Epithelial Barrier in Vitro. It was clear from the preliminary experiments using Caco-2 cells that the yeast fermentate-derived metabolites have a potential anti-inflammatory effect, which is more pronounced in the last two weeks of the treatment. However, Caco-2 cells are not immune cells, and therefore we have made use of a more relevant in vitro model that mimics the intestinal mucosa<sup>43,44</sup> to assess the immunomodulatory properties of this product. Because the long-term SHIME experiment showed a significant metabolic activity induced by the yeast fermentate in the simulated transverse colon (Table 3), with increased butyrate production, we have used the sterile-filtered suspensions collected from this vessel at the end of the control (yeast C) and treatment (yeast T) periods to treat the Caco-2/ THP1 cocultures. As previously described, PMA-treated THP1 cells induce damage in Caco-2 cells.43,44 This damage can be evaluated by measuring the decrease in TEER of the Caco-2 monolayer, an indication that the epithelial barrier has been compromised. To determine if the SHIME suspensions affect the integrity of the monolayer and if the yeast fermentate has a beneficial effect on the epithelial barrier as compared to the control, the TEER was measured before and after 24 h of apical treatment of the cocultures with the transverse colon-collected samples (Figure 4). As expected, the wells treated with growth media alone (DMEM) show a 40% decrease in TEER (damage induced by PMA-treated THP1 cells). Surprisingly, the SHIME suspensions show a very pronounced protective effect on the epithelial monolayer integrity, as seen by a 50% increase in the TEER after treatment of the Caco-2 cells. However, no difference is seen between the control and the yeast fermentate SHIME suspensions (Figure 4). This is possibly a result from the starch fermentation-derived metabolites (control), which support microbial activity and seem to have some protective effects, at least at the level of barrier function of Caco-2 cells.

Yeast Fermentation-Derived Metabolites Lead to a Decrease in TNF- $\alpha$  Secretion by Caco-2/THP1 Cocultures.



**Figure 4.** Effect of the SHIME samples on the transepithelial electrical resistance (TEER) of Caco-2 cells cultured in the presence of THP1 cells. Samples collected from the transverse colon at the end of the control (yeast C) and treatment (yeast T) periods were sterile-filtered and added (1:5 v/v) for 24 h to the apical compartment of Caco-2 cells grown for 14 days on semipermeable inserts and placed on top of PMA-stimulated THP1-derived macrophages (cocultures). Growth medium alone (DMEM) was used as control. THP1 cells cultured in the presence of PMA for 48 h induce damage on the Caco-2 cells as measured by a decrease in TEER in the DMEM control. All treatments were done in triplicate. TEER values have been normalized to the values measured before coculture (0 h) and are expressed as percentage from the initial value. Results are presented as the mean  $\pm$  SEM. (\*\*\*) indicates significant difference from DMEM, p < 0.001.

To determine if the yeast fermentate-derived metabolites collected from the SHIME have a potential anti-inflammatory effect in vitro, after an initial apical pretreatment (24 h) of the cocultures with SHIME-collected samples, cells were treated basolaterally with LPS or with DMEM for 6 h, after which the supernatant was collected to measure pro-inflammatory cytokines (Figure 5). LPS, derived from the outer membrane of Gram-negative bacteria, is a potent pro-inflammatory agent, which induces NF-KB-dependent cytokine production, such as IL-8, IL-6, and TNF- $\alpha$ , upon TLR4 binding.<sup>45</sup> Note, however, that IL-8 is also slightly induced by PMA, and so this cytokine was also detected in non-LPS-stimulated cells (Figure 5A). In contrast, IL-6 and TNF- $\alpha$  were detected only in LPS-stimulated cells (Figure 5B,C). The sample collected from the SHIME treated with yeast fermentate (yeast T) is able to significantly reduce PMA-induced IL-8 secretion in vitro, an effect that is no longer observed after LPS stimulation (Figure 5A). This is in contrast with the results obtained by the preliminary experiments (Supporting Information Figure S2), but the coculture nature of this experiment versus the single Caco-2 experiment and the different pro-inflammatory stimulants used may account for the differences observed. In addition, the yeast fermentate-derived metabolites (yeast T) are able to drastically reduce in vitro TNF- $\alpha$  production as compared to the SHIME control (yeast C) (Figure 5C). However, the same effect is not observed for in vitro IL-6 secretion (Figure 5B).



**Figure 5.** Effect of SHIME samples on basolateral secretion of pro-inflammatory cytokines upon LPS stimulation of Caco-2/THP1 cocultures. Samples collected from the simulated transverse colon at the end of the control (yeast C) and treatment (yeast T) periods were sterile-filtered and added (1:5 v/v) for 24 h to the apical compartment of Caco-2 cells grown for 14 days on semipermeable inserts and placed on top of PMA-stimulated THP1-derived macrophages (cocultures). Then, the cocultures were stimulated with 100 ng/mL of LPS or DMEM only on the basolateral compartment for 6 h. After this period, the basolateral supernatant was collected, and cytokines (IL-8, A; IL-6, B; and TNF- $\alpha$ , C) were measured by ELISA. All treatments were done in triplicate. Results are presented as the mean ± SEM. (\*) indicates significant difference from yeast C, p < 0.05; ns, not significant.

Although TNF- $\alpha$  is known to be induced by NF- $\kappa$ B, no differences in NF- $\kappa$ B/AP-1 activity of THP1-XBlues cells were seen between the control SHIME and the yeast fermentate (results not shown). By the fact that the reporter gene measures the activity of both transcription factors (NF- $\kappa$ B and AP-1), it is not possible to confirm that NF- $\kappa$ B activity is lower in the yeast fermentate-treated cells. No differences in Caco-2 cellular proliferation, as measured by the WST-1 assay on the apical compartment, were observed between the different treatments both with and without LPS stimulation (results not shown). This suggests that the SHIME-collected samples do not affect cell number.

# DISCUSSION

In this paper, an innovative in vitro approach was used to study the specific fermentation pattern of a yeast fermentate and its subsequent effect on both luminal and mucus-associated microbiota and the resulting immunomodulatory effects on the gut mucosa. After having obtained a first indication on the (selective) fermentability of the product, showing specific butyrate production and stimulation of lactobacilli growth, repeated administration (4 weeks) to the SHIME provided insights into the mode of action behind the immune-protective properties of this fermentate. Gradual changes, indicative of an adaptation of the microbiota over time, were observed in both colonic microbial community activity (e.g., butyrate production) and composition in the gut lumen (decrease of potential pathogens and increased lactobacilli concentrations) and mucus layer (e.g., increased adhesion of lactobacilli). In parallel, a decrease in inflammation-induced IL-8 production in Caco-2 cells was noted throughout the course of treatment, suggesting that long-term consumption of this product may have positive health effects. In addition, an in vitro coculture model that mimics the intestinal mucosal interface,<sup>43,44</sup> with immune cells lining the intestinal epithelia, showed that the yeast fermentate is also able to decrease LPS-induced TNF- $\alpha$  production, confirming that the metabolites produced upon bacterial fermentation of EpiCor have an anti-inflammatory effect. This effect, observed in the basolateral compartment of the cocultures upon apical treatment of the intestinal epitheliallike Caco-2 cells, indicates an important indirect mode of action. Altogether, the results suggest that prebiotic modulation of the intestinal microbiota can be an important factor determining the biological activity of this product.

The different analytical techniques used here allowed investigation of the similarity between the different colonic samples and showed a clear treatment effect on the microbial community in vitro, particularly in the simulated proximal colon, as shown by pyrosequencing analysis. In addition, DGGE fingerprinting showed a gradual change on the microbiota, separating the first and second halves of the treatment periods. Plate counts showed a significant decrease in coliforms and staphylococci in the gut lumen, groups typically associated with the presence of potential pathogens. Clostridia also decreased, although it is difficult to conclude on the negative aspects, as the broad phylogenetic group of clostridia contains both pathogens and beneficial bacteria. At the mucus layer, increased lactobacilli and decreased clostridia levels were observed in the descending and ascending colon, respectively. QPCR allowed detection of specific stimulation of lactobacilli and members of Clostridium cluster I, but not the pathogenic C. perfringens. In parallel with gradual changes in community composition, selective modulation of microbial activity was

observed, such as increased lactate production in the simulated ascending colon and increased butyrate levels in the transverse and descending colon. Lactate has antibacterial properties, which may account for the decrease in coliforms and staphylococci observed, and is an important precursor for butyrate production.<sup>46</sup> Increased butyrate levels were already observed upon fermentation of yeast products,  $^{47}$   $\beta$ -glucans from cereals,<sup>48</sup> and mannan-oligosaccharides.<sup>49</sup> SCFAs are the main metabolic products of anaerobic bacteria fermentation, are suggested to be the link between microbiota and host tissues, and are known to prevent pathological conditions such as inflammatory bowel disease (IBD), diabetes, and cancer.50 Actually, SCFA and butyrate enemas have been successfully used to treat acute inflammation in patients with ulcerative colitis.<sup>51,52</sup> SCFAs, particularly butyrate, are able to modulate the production and release of chemotactic and adhesion molecules in neutrophils and the expression of cytokines in intestinal epithelial cells and immune cells (reviewed in ref 50). Therefore, the metabolic activity induced by the yeast fermentate toward butyrate production may contribute to its immune-protective effects.

The effect of yeast-derived products on the intestinal microbiota has been previously described. In some studies, the effect of the yeast food additive (Diamond V XP Yeast Culture), made by the same process as the product here studied, was shown in vivo<sup>12</sup> and in vitro<sup>53</sup> to increase lactobacilli and to decrease E. coli numbers. Several components of the yeast fermentate may be responsible for such changes. For instance, yeast cell mannan-oligosaccharides can prevent intestinal bacteria from attaching to mannose residues on the gut epithelium,<sup>54</sup> and selective modulation of the microbiota in combination with improved gut morphology has been observed in fish.<sup>55</sup> Moreover, these compounds are also known to support probiotic lactobacillus growth.56 EpiCor contains different elements that were previously shown to affect immune parameters by direct interactions. For instance, the yeast cell wall fragments  $\beta$ -glucans and mannan-oligosaccharides are well documented for their positive effects on the immune system.<sup>57</sup> However, in addition to these potential direct immune effects, our results suggest an important role for indirect immune effects related to the regular consumption of the yeast fermentate and the metabolites resulting from its fermentation. The application of the SHIME matrix containing the yeast fermentate-derived metabolites to our in vitro coculture model is unique because it allows testing of the indirect effects of the fermented product rather than the intact product, which is surely more relevant when the ultimate goal is to evaluate the beneficial effects of its oral consumption.<sup>30</sup> The Caco-2/THP1 coculture model used in the present study is based on the model proposed by Satsu and colleagues<sup>43</sup> and allows testing for putative beneficial effects both on the intestinal epithelia and on the immune system in vitro. It has also been suggested to mimic the damage observed in IBD, and therefore it represents a good model to screen for drugs or food substances that can be used to treat or prevent IBD-like symptoms.<sup>43</sup> This is interesting because we have observed that the metabolites derived from the fermentation of EpiCor are able to decrease TNF- $\alpha$  release by cells in vitro. A continuous and inappropriate immune response of the immune system to the commensal microflora of the human gut is a hallmark of IBD, such as Crohn's disease and ulcerative colitis,<sup>4</sup> and the currently accepted therapies are aimed to manage this chronic inflammation by using TNF- $\alpha$  inhibitors, such as Infliximab.<sup>58</sup>

Moreover, butyrate was shown to inhibit TNF- $\alpha$  production in human peripheral monocytes and murine macrophages.<sup>59</sup> Therefore, and albeit speculatively, we believe that the enhanced butyrate production upon fermentation of EpiCor may contribute to the decrease in TNF- $\alpha$  production observed in our in vitro cocultures. Hence, the consumption of this product may be beneficial to prevent IBD and to help patients manage their symptoms.

Health effects from nutritional interventions, such as immune protection by prebiotics, are the result of a complex interplay between processes occurring in the gut lumen and at the mucosal surface and intestinal epithelium.<sup>17</sup> Thus, the yeast fermentate may contain products that directly interact with the mucosal immune system, but they may also be digested in the upper intestine and fermented in the lower intestine, resulting in breakdown products that in turn interact with the immune system. In addition, selective fermentation of the product can affect the composition of both the luminal- and mucosaassociated microbiota, which will modulate specific host– bacteria interactions involved in immune responses. Finally, bacterial metabolites produced in response to the administered product, such as butyrate and lactate, can affect other bacteria, including pathogens, and thereby improve immune function.<sup>60</sup>

As already mentioned, human and animal studies are essential to study the final immune response to nutritional interventions. However, they do not allow investigating each of the intestinal processes involved in the complex interplay between the intestine and the immune system. In vitro models offer therefore a valuable alternative. The SHIME has been extensively validated as a valuable in vitro tool to study intestinal digestion and fermentation under representative conditions in a long-term study setting.<sup>13</sup> The new integrated SHIME platform, which was presented here, has the unique capacity to combine the study of both direct and indirect effects of food ingredients on the immune system. Because butyrate has received considerable attention due to its immuneprotective properties,<sup>60</sup> the enhanced butyrate production observed here may help explain the underlying mechanism behind EpiCor's immunomodulatory effects. However, a reduced IL-8 production by Caco-2 cells was observed for the ascending colon already from the first week of treatment, when butyrate production was not yet stimulated. Thus, other aspects related to the intestinal ecosystem are expected to be involved. Hence, further research is needed to elucidate the complex mechanism of interaction of the yeast fermentate with the immune system. Despite these promising results, in vitro studies should be corroborated by in vivo experimental data. Therefore, such in vitro findings may be useful in the design of targeted clinical studies aimed to confirm the mode of action and the relevance of the observed effects.

#### ASSOCIATED CONTENT

#### **Supporting Information**

Supplementary Figure 1: Rarefaction curves and diversity indexes. Operational taxonomic units (OUT) composition was used to evaluate the alpha diversity for rarefaction curves (A) and observed richness (Sobs), Chao 1 index, Shannon index (B). Values have been calculated for samples collected from ascending (AC), transverse (TC) and descending colon (DC) compartments at the end of the control (CT) and treatment (TR) periods. Supplementary Figure 2: Effect of SHIME samples on the secretion of IL-8 by Caco-2 cells upon stimulation with a pro-inflammatory cocktail. Samples were

collected from the simulated ascending (AC), transverse (TC) and descending (DC) colon compartments, at the end of the control period (CT) and at the end of each week of treatment (TR1-4) of the SHIME experiment. The sterile-filtered samples were added (1:10 v/v) in combination with a proinflammatory cocktail (TNF- $\alpha$ , IL-1 $\beta$ , IFN- $\gamma$ , LPS) to the cell culture medium. After 24 h, IL-8 secretion was quantified by ELISA on the pooled samples collected from the apical and basolateral compartments. Results are presented as the average secretion of IL-8/mg of secreted protein of three independent experiments. The plotted lines represent the best lines that fit the data (linear regression, least-squares method). (\*) Slope significantly different from zero, p < 0.05. This material is available free of charge via the Internet at http://pubs.acs.org.

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The authors declare no competing financial interest.

# ABBREVIATIONS USED

AC, ascending colon; ANOVA, analysis of variance; AP-1, activator protein 1; DC, descending colon; DGGE, denaturing gradient gel electrophoresis; DMEM, Dulbecco's modified Eagle medium; ELISA, enzyme-linked immunosorbent assay; FBS, fetal bovine serum; FOS, oligofructose; GALT, gutassociated lymphoid tissue; IBD, inflammatory bowel diseases; IFN, interferon; Ig, immunoglobulin; IL, interleukin; LDH, lactate dehydrogenase; LPS, lipopolysaccharide; NF-kB, nuclear factor kappa-B; OTU, operational taxonomic units; PAMP, pathogen-associated molecular pattern; PBS, phosphate-buffered saline; PCoA, principal coordinate analysis; PMA, phorbol 12-myristate 13-acetate; QPCR, quantitative PCR; RPMI, Roswell Park Memorial Institute; rRNA, ribosomal ribonucleic acid; SCFAs, short-chain fatty acids; SEAP, secreted embryonic alkaline phosphatase; SHIME, simulator of the human intestinal microbial ecosystem; TC, transverse colon; TEER, transepithelial electrical resistance; TLR, Toll-like receptor; TNF- $\alpha$ , tumor necrosis factor-alpha; yeast C, yeast control (SHIME); yeast T, yeast treatment (SHIME)

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#### Journal of Agricultural and Food Chemistry

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